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Workshop Programme
**Water Related
 Risk Management Guidelines
 for
 Financial Institutions**

Objectives

The main objectives of the workshop are founded on the need to learn and expand on the obstacles to investments in the water sector. Such investments could provide a window of opportunity for private sector participation that can ultimately reduce water-related risks and increases access to water in developing countries.

- Identify main risks to investors in the water sector.
- Identify potential role of financial institutions in risk mitigation and management.
- Identify a regulatory framework that can reduce sovereign risks in the sector.
 - Identifying role of policy makers in creating an enabling environment.
 - *Minimum requirements* for an enabling environment for financial institutions and investors e.g. regulatory risks.
- Identify a regulatory framework at domestic level that can boost investments into the sector.

Agenda Day 1:

9:30 – 10:00	Registration
10:00 – 10:15	<ul style="list-style-type: none"> • Welcoming remarks Walter Amman, Swiss Federal Snow and Avalanche Institute (SLF) Paul Clements-Hunt, UNEP Finance Initiative
10:15 – 11:00	<ul style="list-style-type: none"> • Background information, objectives and intended outcomes Johan Kuylenstierna, Stockholm International Water Institute (SIWI) Koko Warner, United Nations University (UNU)
11:00 – 11:30	<ul style="list-style-type: none"> • Process flow chart Alberto Pacheco Capella, UNEP Finance Initiative <ul style="list-style-type: none"> • Demand driven private sector engagement / SNS REEAL Water Fund Kajetan Hetzer SNS Asset Management
11:30 – 11:45	Coffee
11:45 – 12:10	<ul style="list-style-type: none"> • Financing water infrastructure / water related risks for investors James Winpenny, Wychwood Economic Consulting
12:10 – 12:30	<ul style="list-style-type: none"> • Access to local credits and capital markets Peter Borkey, OECD
12:30 – 13:30	Lunch
13:30 – 15:00	<ul style="list-style-type: none"> • Group Session 1
15:00 – 15:20	Coffee
15:20 – 17:00	<ul style="list-style-type: none"> • Continued Group Session 1
17:00 – 17:30	<ul style="list-style-type: none"> • Wrap-up Day 1 Chairs to summaries the main points discussed
19:00 – 21:00	<ul style="list-style-type: none"> • Dinner



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Group session 1 topics: Identify key risks and barriers to investing in the water sector

- How can best practice be defined with regards to financial sector engagement in water?
- What experience has been gathered about existing water related management practices? i.e., products/ services offered by financial institutions for managing water related risk.
- How do Financial Institutions currently assess water related risk and address water related issues? How do Financial Institutions integrate these risks in their due diligence-process?
- How can good practice be defined with regards to financial sector engagement in water?
- What are the main barriers to investors a) proactively adopting good practice and b) to channelling investments in water and sustainable social and economic development?
- What are the main perceived risks deterring financial sector engagement in managing water risks?
- What are the main barriers to financial sector engagement, linked to local and national policy? i.e. insufficient legal frameworks, uncertainties linked to political frameworks, particularly in countries with unstable political regimes

Agenda Day 2:

9:00 – 10:00	Recap on Day 1
10:00 – 11:00	<ul style="list-style-type: none"> • Group Session 2
11:00 – 11:15	Coffee
11:15 – 12:30	<ul style="list-style-type: none"> • Continued Group Session 2
12:30 – 13:30	Lunch
13:30 – 14:30	<ul style="list-style-type: none"> • Moderate Group Discussion
14:30 – 15:00	<ul style="list-style-type: none"> • Wrap up of workshop

Group session 2 topics: Ways of addressing risks and barriers

- How can barriers to investors in the water sector be mitigated?
- How can local and national policies be adjusted and appropriate policies enforced to create a more “enabling” environment for encouraging investments into water?
- How can financial institutions play a pro-active role in overcoming identified barriers?

Moderate discussion topics

- Possible Topic 1: Continue discussions on which barriers are most important to capture in the guidelines?
- Possible Topic 2: Problems, constraints and barriers associated with the financial sector applying best practices in water?
- Possible Topics 3: What role can other stakeholders play in improving conditions for investments into water? How can the best practices identified on day one be incorporated into the guidelines?