

Know our Keynote Speakers

Welcome Speech from our NATF Co-Chairs:

Richard W. Pearl, Vice President, Community Affairs, State Street Corporation

Richard Pearl is the Corporate Social Responsibility Officer for State Street Corporation's Community Affairs Division. State Street's expanded CSR efforts have led to its inclusion on the Dow Jones Sustainability Index and the FTSE4Good Index.

Among Pearl's CSR responsibilities are managing State Street's Corporate Social Responsibility Working Group, overseeing the production of the company's annual Corporate Social Responsibility report, and working with internal and external stakeholder groups on CSR issues. He is also the primary advisor to an Executive Vice President (EVP)-level Environmental Sustainability Committee at State Street.

Pearl is on the steering committee of the United Nations Environment Programme Finance Initiative (UNEP FI), and is the co-chair of UNEP FI's North American Task Force. He also served on a combined UNEP FI/ Global Reporting Initiative (GRI) team that developed the Financial Service Sector Supplement to the GRI from 2006-2008.

He is a graduate of Harvard University, and lives with his family in Concord, MA.

Sandra Odendahl, Director, Corporate Environmental Affairs, RBC Financial Group

Sandra Odendahl is Director of Corporate Environmental Affairs at RBC Financial Group, where she leads a team with responsibility for corporate environmental strategy, environmental credit risk policies, and corporate environmental programs at the bank. Sandra first joined RBC in 1997 as a resource sector analyst, and then from March 2000 to August 2005 she was the head of RBC's Environmental Risk Management department. Sandra left RBC in September 2005 for 2 years at CIBC, leading their Corporate Environmental program, before returning to RBC in September 2007 to take the newly created position of Director of Corporate Environmental Affairs.

Prior to entering the banking sector, RBC, Sandra was an environmental consultant in Toronto and Vancouver, specializing in Environmental Impact Assessments for resource sector development projects in Canada and Indonesia.

Sandra is co-chair of the North American Task Force of the UN Environment Programme Finance Initiative (UNEP FI). She was formerly the Co-Chair of the Conference Board's Business Council on Sustainability and was a member of the Commodities Research

Advisory Panel for the Conference Board's "Canada Project". She is on the Advisory Board of the Department of Chemical Engineering and Applied Chemistry at the University of Toronto and the Board of Directors of the Canadian Institute for Environmental Law and Policy.

Born in Ottawa, Sandra has a B.A.Sc in Chemical Engineering from the University of Ottawa, and an M.A.Sc. in the same field from University of Toronto. Sandra has been a licensed professional engineer since 1994, and in 2007 she earned her CFA (Chartered Financial Analyst) charter. She lives with her husband and two young children in Toronto.

Introduction:

Ronald E. Logue, Chairman and CEO, State Street Corporation

Ronald (Ron) E. Logue is chairman and chief executive officer at State Street Corporation. Mr. Logue was appointed chairman and CEO in 2004. Prior to that he held a number of leadership positions at State Street. Mr. Logue joined the company in 1990 as senior vice president and head of the investment servicing for US mutual funds. He was named chief operating officer in 2000 and president in 2001.

As president and chief operating officer, Mr. Logue was responsible for overseeing State Street's investment servicing, securities and investment research and trading activities, as well as information technology. During his presidency, he led the highly successful integration of the Deutsche Bank's Global Securities Service business, acquired in January 2003.

Mr. Logue serves on the board of directors of the Metropolitan Boston Housing Partnership, a nonprofit, low-income housing organization, the United Way of Massachusetts Bay and Merrimack Valley, and the Institute of Contemporary Art. He also serves on the board of overseers of Boston's Museum of Fine Arts.

Mr. Logue received Bachelor of Science and Master of Business Administration degrees from Boston College.

NATF Report Presentation:

David Gardiner, Principal, President, David Gardiner & Associates, LLC

David Gardiner has more than thirty years of experience analyzing and shaping successful federal and international environmental policy in the public and private sector, especially on climate change and energy. As principal of David Gardiner & Associates, he provides high-level strategic guidance to the corporate executives and non-profit organizations with whom the firm works. Among his principal areas of expertise are energy efficiency, clean air policy, sustainable transportation concerns, and forest and land issues.

Prior to founding DGA, Mr. Gardiner served as the Executive Director of the White House Climate Change Task Force during the Clinton Administration, where he participated in development of domestic policy and in international climate negotiations.

As EPA Administrator Carol Browner's Assistant Administrator for Policy, Mr. Gardiner led EPA's climate change efforts, as well as programs to reinvent EPA's approaches to key sectors, such as transportation, agriculture, metal finishing, and real estate development. Prior to joining the Clinton administration, Mr. Gardiner was Legislative Director for the Sierra Club in Washington, DC. Mr. Gardiner has a Bachelor of Arts with honors from Harvard College.

GRI Financial Services Sector Supplement Presentation:

Regina Kessler, Programme Manager for Property, Sustainability Reporting and Management, and Central and Eastern Europe Task Force Officer, UNEP FI

Regina Kessler is a Programme Manager for Property Investment, Sustainability Reporting and Central and Eastern Europe at UNEP FI.

Following 3 years of work experience in the German financial sector, Regina joined the Finance Initiative unit of the United Nations Environment Programme in 2003. Regina is a member of the management team at UNEP FI. Her areas of responsibility include the coordination of the UNEP FI Property Working Group, a group of investors and asset managers dedicated to Responsible Property Investment. Regina also holds responsibility for the Central and Eastern European Task Force, a group a group of financial institutions and stakeholders active in CEE, which she has established for UNEP FI in 2004. From 2005 to 2008 Regina has coordinated the working group for the pilot-testing and revision of the GRI Financial Services Sector Supplement.

Regina holds a Masters Degree in Economics from University Erlangen-Nuremberg in Germany with a specialization in environmental economics, public finance and international management. During her career she has obtained certificates in professional development courses on microfinance and climate change and the financial sector.

Panel I: Speaker Biographies

Moderator:

David Gardiner, Principal, President, David Gardiner & Associates, LLC

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Panelists:

Beth Ginsberg Holzman, Manager of CSR Strategy and Reporting, The Timberland Company

Beth is responsible for managing CSR strategy through internal and external stakeholder engagement, producing the company's quarterly and bi-annual CSR reports, and integrating CSR throughout the business.

Prior to working at Timberland, Ms. Holzman was Manager of Corporate Accountability Programs at Ceres, where she helped shape companies' sustainability strategies and convened various multi-stakeholder groups within that process. Ms. Holzman has primarily worked with companies in the apparel/ footwear and consumer products sectors including Dell, Nike, Gap, Green Mountain Coffee Roasters, and Clif Bar (among others). She also managed the Facility Reporting Project, where she has advised facilities across the U.S. on reporting, community engagement, and materiality analysis.

Ms. Holzman previously worked for the Environmental Careers Organization and ICLEI-Local Governments for Sustainability. She has a B.A. in Sociology from Tufts University.

Dr. Blair W. Feltmate, Director of Sustainable Development, Ontario Power Generation.

Previously, Blair was Vice President of Sustainable Development, Bank of Montreal/Jones Heward Investment Council, and prior to that Dr. Feltmate spent ten years developing the sustainable development programs for two dozen multi-national corporations.

Dr. Feltmate is on the Boards of – Canadian Expert Delegation for ISO 26000, Canadian Electricity Association Sustainability Program, Ontario Energy Association Environment Program, Canadian Energy Efficiency Alliance, Research Network for Business Sustainability (Ivey School of Business, University of Western Ontario), and he has been Sustainable Development Advisor to the American Chemistry Council (Washington, D.C.), Board Member of the Office of the Commissioner of the Environment and Sustainable Development (Ottawa, Canada), and Board Member of the Social Investment Organization (Canada). He is an invited member to the New York Academy of Sciences. Dr. Feltmate is the author of over 60 publications on environmental science and the business case for sustainable development.

Tony Basson, Senior Manager, CIBC Environmental Risk Management

Tony is responsible for overseeing corporate environmental programs at the bank, primarily focused on reducing its operational and supply chain's environmental impacts. Tony is also responsible for providing corporate governance responsibilities for ensuring adherence to CIBC's Corporate Environmental Policy and Environmentally Responsible Procurement Standard.

Prior to joining CIBC in 2001, he was employed for seven years with Nortel Networks overseeing their corporate ISO 14001 registration, environmental product design standards, and environmental training across its global operations.

Collectively, Tony also has over 15 years in corporate environmental performance reporting. He participated in a study for the National Round Table on Environment and Economy to develop material and energy intensity indicators. He also has experience in reporting against the Global Reporting Initiative/G3 and Public Environmental Reporting Initiative (PERI) Guidelines.

Tony holds an Honours B.A. in 'Urban & Environmental Studies' combined with 'Geography' from Brock University. He currently lives in Toronto, Canada with his wife and son.

Valerie Smith, Vice President Environmental Affairs, Citigroup

Valerie is responsible for tracking environmental issues and providing relevant information to the businesses; serving as a liaison with SRI and NGO stakeholders; reporting on Citi's environmental achievements; helping to "green" Citi's operations, including real estate and procurement, and leading development of Citi's human rights strategy.

Valerie earned her MBA with a concentration in Sustainable Enterprise from UNC-Chapel Hill, and her BA at the University of Virginia in Environmental Science. Valerie has also worked for several environmental non-profits, including the Houston Advanced Research Center, National Audubon Society, and the Brainerd Foundation. She spent two years with the Peace Corps in Honduras as an Environmental Specialist.

Panel II: Speaker Biographies

Moderator:

Zoe Riddell, Vice President – USA, Carbon Disclosure Project (CDP)

Zoe, previously based in London, oversees CDP's operations in the US. Before she joined CDP full time in June 2004, Zoe was Business Coordinator for Daimaru Incorporated, where she researched UK economic and retail trends for the corporation's Head Office in Japan. Prior to this, Zoe participated in a one-year contract with the Japanese Government's Japan Exchange & Teaching programme in Hiroshima. She is a former Sales Manager at Money Marketing Magazine and at Rhinegold Publishing.

Panelists:

Gregory Larkin, Senior Analyst, Innovest

Mr. Larkin is a Senior Analyst in Innovest's New York office where he leads Innovest's coverage of the financial sea the subprime sector was going to collapse in October of 2006, nearly 8 months before the crisis shifted into high gear. More recently, Greg was in the news again for downgrading Bear Stearns to below investment grade 9 months before it collapsed. He has developed several pioneering models to track environmental and social performance

in the banking sector, and has a strong track record of “good calls” Greg has been featured frequently in the Financial Times, Wall Street Journal, Business Week, the Banker Magazine, Investment News, and NPR.

Before joining Innovest, Mr. Larkin worked as an independent consultant, building partnerships between the private and non-profit sectors. Prior to this, he worked as the Corporate Partnerships Executive for MercyCorps, an international NGO which specializes in microcredit and bottom of the pyramid development programs. He received his master's degree in emerging market economics from University of Strathclyde Business School in Glasgow in 2004 and his BA in International Relations from McGill University in Montreal in 2000.

Paul Hilton, Portfolio Manager and Director, Advanced Equities Research, Calvert. Chair of UNEP FI's Asset Management Working Group

Mr. Hilton examines the relationship of social, environmental, and governance factors to financial performance in order to provide insight to Calvert investment portfolios. He also serves as co-portfolio manager of the Calvert Global Sustainability Strategy, a private account. Prior to assuming this role, he served as Director of Institutional and SRI Marketing and was responsible for promoting Calvert's institutional investment products and socially responsible funds. With over \$15 billion in assets under management, Calvert has provided shareholders with solid financial performance and leadership in socially responsible investing (SRI) since 1982.

Prior to joining Calvert, Mr. Hilton was Portfolio Manager, Socially Responsible Investing at The Dreyfus Corporation. At Dreyfus he was responsible for social research and marketing of the Dreyfus Premier Third Century Fund and its variable annuity counterpart, the Dreyfus Socially Responsible Growth Fund. Mr. Hilton has also served as a research analyst in the Social Awareness Investment (SAI) program at Smith Barney Asset Management, a division of Citigroup. Mr. Hilton also worked as project director for corporate social responsibility research at the Council for Economic Priorities (CEP), a New York-based not-for-profit organization best known for its consumer guide “Shopping for a Better World.” While at CEP, Paul spent four months with the Centre for Science and Environment, an environmental research organization in New Delhi, India, developing a program to evaluate the environmental performance of India-based companies.

Mr. Hilton holds Master's degrees in Anthropology from New York University and Education from Roberts Wesleyan College. He is also co-founder of SIRAN, the Social Investment Research Analyst Network, a national group of analysts working to promote dialogue with companies about corporate responsibility. Mr. Hilton is Co-Chair of the Asset Management Working Group of the United Nations Environment Programme Finance Initiative (UNEP-FI), and serves as Treasurer of UNEP-FI. In addition, he serves on the board of Communities in Schools of the Nation's Capital.

Mindy Lubber, President, CERES

Mindy S. Lubber is the President of Ceres, the leading U.S. coalition of investors and environmental leaders working to improve corporate environmental, social and governance practices. She also directs the Investor Network on Climate Risk (INCR), a network of more

than 70 institutional investors representing over \$7 trillion in assets that coordinates U.S. investor responses to the financial risks and opportunities posed by climate change.

Ms. Lubber is the recipient of the Skoll Social Entrepreneur Award and under her leadership Ceres was awarded the Fast Company Social Capitalist Award for both 2006 and 2007. Before coming to Ceres, Ms. Lubber was the Regional Administrator of the U.S. Environmental Protection Agency and CEO of Green Century Capital Management, an investment firm managing environmentally screened mutual funds. She was recently voted one of “The 100 Most Influential People in Corporate Governance” by Directorship Magazine, who noted Ceres’ increasing influence in its field.

William Page, Vice President, State Street Global Advisors

Bill is a Vice President of State Street Global Advisors. He is Head of ESG Investments, and Lead Portfolio Manager of the Global Environmental Opportunities Strategy. Bill also manages the Socially Responsible Equity Strategy. Prior to joining the Global Fundamental Strategies group in 1999, Bill was a member of SSgA's Quantitative U.S. Active Equities portfolio management team. He joined SSgA in 1998 from Wellington Management Company where he was responsible for product marketing for domestic and global equity strategies. Prior to this, Bill directed asset allocation product management at Fidelity Investments Institutional Services. During business school, Bill worked at KLD Research and Analytics, a leading social investment research firm. Bill is a former member of the FTSE4Good Index Advisory Committee, an index comprised of companies that meet generally accepted standards of responsible corporate behavior. He is a participant on the Global Roundtable on Climate Change at Columbia University, and a supporter of the Carbon Disclosure Project and Ceres.

Bill is a member of the Environmental Management System (EMS) team for State Street Corporation, assisting in implementing a corporate-wide EMS roll-out. He is a member of State Street's Corporate Social Responsibility (CSR) Committee, which integrates ESG into State Street business activities. He is also a member of the State Street Corporation Global Philanthropy Program Corporate Allocations Committee. He has been working in the investment management field since 1986. Bill earned a Bachelors degree in Economics from Boston University and an MBA from the F.W. Olin School of Business at Babson College.

Panel III: Speaker Biographies

Moderator:

Dr. Allen L. White, Vice President and Senior Fellow, Tellus Institute and Director, Corporation 2020

Mr. White is the Vice President and Senior Fellow, Tellus Institute, Boston, USA, and directs the institute’s Program on Corporate Redesign. In 1997, he co-founded the Global Reporting Initiative (GRI) and served as CEO until 2002. In 2004, he co-founded and is Director of *Corporation 2020*, an initiative focused on designing future corporations to embed social purpose at their core. He has advised multilaterals, foundations, corporations, and NGOs on corporate responsibility strategy and policy.

Dr. White has held faculty and research positions at the University of Connecticut, Clark University and Battelle Laboratories, and is a former Fulbright Scholar in Peru and Peace Corps volunteer in Nicaragua. He has served on advisory groups and committees of the International Corporate Governance Network, Civic Capital (an SRI fund), Instituto Ethos (Brazil), and the Institute for Responsible Investment, Boston College Center for Corporate Citizenship. In 2006, he was elected Chair of GAN-NET, a non-profit dedicated to capacity-building of global action network organizations working on global issues such as trade, environment, corruption and employment. Since 2005, Dr. White has served as Senior Advisor to Business for Social Responsibility. He has published and spoken widely on corporate responsibility, accountability and governance.

Panelists:

Esther Garcia, Ex- Head of Corp. Responsibility Services, Det Norkse Veritas

Esther Garcia's field of work centers on delivering CSR assurance services such as CSR risk assessments and verification of CSR reports. Esther started her professional career as an environmental consultant before joining Ethical Investment Research Services (EIRIS) Ltd. in 2001 where she worked as a Senior SRI Analyst. There she was responsible for the development of new indicators and analysis of companies in the electronics, finance and oil and gas sectors as well as building relationships with organizations such as the OECD and the GRI. In 2004 she joined Core Ratings as SRI Manager.

During the past 5 years Esther has been co-Chair of the GRI/UNEP FI Working Group to develop indicators for the financial industry and has been a regular contributor to CSR specialized journals and magazines. Esther holds the following qualifications: LLB (Spain) LLM in European and Environmental Law (University of Nottingham); MSc in Environmental Management (University of Salford); MIEMA; SA8000/ISO 14001 lead auditor; emission trading scheme verifier; and CSR report verifier. She is also a regular contributor to different CSR journals.

Thomas Kuh, PhD, Managing Director – KLD Indexes, KLD Research & Analytics, Inc

Thomas Kuh is Managing Director of KLD Indexes, a division of KLD Research & Analytics, Inc. KLD is an independent investment research company specializing in analysis of the social and environmental performance of corporations. He is a member of KLD's Management Committee.

Dr. Kuh was a Research Analyst at KLD from 1992 to 1994, evaluating the social and environmental records of companies and consulting on related issues with institutional money managers and investors. From 1994 to 1997, he was Product Manager for SOCRATES, the first database application providing social investment research and portfolio screening tools. From 1998 to 2004, he was Director of Business Development at KLD.

Since 2000, Dr. Kuh has served on the board of directors of the Social Investment Forum, an industry trade organization. In 2004, he was elected to the board of directors of SiRi Company (Sustainable Investment Research International), a European social investment and sustainability research company. He serves on the CARS Advisory Board of the National Community Capital Association. CARS (CDFI Assessment and Rating System)

provides ratings of community development finance institutions to aid investors and donors in their community investment decisions.

Dr. Kuh received his MA and Ph.D. in Economics from the University of Massachusetts. Prior to working at KLD, he was a Professor of Economics at Simmons College and a Teaching Fellow at Harvard University in the Department of Economics.

Jerome Tagger, Chief Operating Officer, Principles for Responsible Investment (PRI)

As Chief Operating Officer for the PRI, since 2006, Jerome Tagger is in charge of overseeing operations and signatory relations and recruitment. Previously, Jerome was Head of Research at Eurosif, the European Social Investment Forum, where he led the first comprehensive European surveys of SRI markets, supervised the publication of investor toolkits on Responsible Investment and Active Ownership, and piloted work on transparency guidelines.

Jerome has prior experience in the life insurance sector at BNP Paribas Group. He was also on board one of the first CSR supply chain assessments in the non-food retail sector in France at Promodes in 1999-2000. Jerome is a graduate of ESSEC Graduate School of Business, France.

Marion Swoboda, Senior Equity Analyst, SAM Indexes GmbH

Marion Swoboda joined SAM in 2001 and is a senior equity analyst in SAM's research team covering the banking sector. In addition, she is co-responsible for developing the overall corporate sustainability methodology framework.

Before joining SAM, she worked six years as senior economist within the macro-economic/investment policy team of the economic research department with Credit Suisse Financial Services and one year as fund analyst with Credit Suisse Private Banking. Within the economic research team, she was responsible for economic and financial markets forecasts of the United States and Japan as well as co-responsible for the investment policy for the retail banking segment. As fund analyst, she was responsible for the whole funds recommendation list for the private banking segment. Marion Swoboda studied economics at the University of Zurich.