



United Nations Environment Programme

برنامج الأمم المتحدة للبيئة • 联合国环境规划署

PROGRAMME DES NATIONS UNIES POUR L'ENVIRONNEMENT • PROGRAMA DE LAS NACIONES UNIDAS PARA EL MEDIO AMBIENTE

ПРОГРАММА ОРГАНИЗАЦИИ ОБЪЕДИНЕННЫХ НАЦИЙ ПО ОКРУЖАЮЩЕЙ СРЕДЕ

Finance Initiative

Innovative financing for sustainability

Dear Potential Signatory,

Thank you for your interest in the United Nations Environment Programme Finance Initiative.

To become a signatory, you will need to complete the following steps:

1. Complete the Registration Form;
2. Sign either one of the Finance Initiative Statements on Sustainable Development — depending on the principal activities of your company / organisation (see Appendices):
 - UNEP Statement by Financial Institutions on the Environment and Sustainable Development
 - UNEP Statement of Environmental Commitment by the Insurance Industry
3. Pay an annual contribution fee;
4. Submit an environmental report (if your company/organisation produces one).

Once accepted as a signatory, your company / organisation will receive:

- A welcome package;
- An invitation to join one or more of UNEP FI's Working Groups and/or Task Forces;
- An invitation to provide a short profile of your company / organisation for UNEP FI's electronic bulletin and website.

As a signatory, you will be required to commit to the following conditions on an ongoing basis:

- Payment of annual contribution fees;
- Attendance at a UNEP FI Annual General Meeting at least every second year;
- Submission of a brief report, on an annual basis, detailing the policy and practice steps the signatory institution has taken in the previous year to advance its commitment to the UNEP FI Statements. Reports will be used for internal UNEP FI purposes only. (*pending ratification)

I look forward to welcoming you and your organisation to the Initiative.

Yours sincerely,

Paul Clements-Hunt
Head of Unit
UNEP Finance Initiative



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Registration Form

Company Details (to appear on the List of Signatories)

Company Name

Company Address

Corporate Address
(if different from above)

Main Telephone

Main Fax

Main Email

Website

Primary Contact

Full Name

Position

Department

Telephone

Fax

Email

Alternate contact

Email

Company Information

Principal Activities

Asset Management

- Retail fund management
- Pension fund management
- Other (please specify)

Insurance

- Retail / commercial insurance
- Reinsurance
- Insurance brokering
- Other (please specify)

Banking

- Retail / commercial banking
- Development banking
- Investment banking
- Microfinance
- Other (please specify)

Other

- Stock exchange / indices
- Regulatory body
- Consultancy
- Financial association
- Other (please specify)

Institution size

Market Capitalisation (USD)

Number of Employees



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Pledge guidance

Signatories are required to pay an annual financial contribution to fund the work programmes of the Initiative. Please indicate the financial contribution of your company based on a self-assessment of your company's scope of activities:

Scale of Activities	Annual fee	Please mark one
International	USD 15,000	<input type="checkbox"/>
National	USD 7,500	<input type="checkbox"/>
Local	USD 3,000	<input type="checkbox"/>

Once your registration form has been processed, the UNEP FI Secretariat will provide an invoice for the amount indicated and a receipt once payment has been received.

Please return the completed form to the following address:

Ms. Kiki Lawal - Signatory Relations
UNEP Finance Initiative Secretariat
Office D.512, 15 chemin des Anemones
CH-1219 Chatelaine
Geneva, Switzerland

Phone: +41 (0) 22 917 8178 /8692
Fax: +41 (0) 22 796 9240 / 917 8076

Email: fi@unep.ch
Website: www.unepfi.org



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Appendix 1

UNEP Statement by Financial Institutions on the Environment & Sustainable Development

We members of the financial services industry recognize that sustainable development depends upon a positive interaction between economic and social development, and environmental protection, to balance the interests of this and future generations. We further recognize that sustainable development is the collective responsibility of government, business, and individuals. We are committed to working cooperatively with these sectors within the framework of market mechanisms toward common environmental goals.

1. Commitment to Sustainable Development

- 1.1 We regard sustainable development as a fundamental aspect of sound business management.
- 1.2 Believe that sustainable development can best be achieved by allowing markets to work within an appropriate framework of cost-efficient regulations and economic instruments. Governments in all countries have a leadership role in establishing and enforcing long-term common environmental priorities and values.
- 1.3 We regard the financial services sector as an important contributor towards sustainable development, in association with other economic sectors.
- 1.4 We recognize that sustainable development is a corporate commitment and an integral part of our pursuit of good corporate citizenship.

2. Environmental Management and Financial Institutions

- 2.1 We support the precautionary approach to environmental management, which strives to anticipate and prevent potential environmental degradation.
- 2.2 We are committed to complying with local, national, and international environmental regulations applicable to our operations and business services. We will work towards integrating environmental considerations into our operations, asset management, and other business decisions, in all markets.
- 2.3 We recognize that identifying and quantifying environmental risks should be part of the normal process of risk assessment and management, both in domestic and international operations. With regard to our customers, we regard compliance with applicable environmental regulations and the use of sound environmental practices as important factors in demonstrating effective corporate management.
- 2.4 We will endeavor to pursue the best practice in environmental management, including energy efficiency, recycling and waste reduction. We will seek to form business relations with partners, suppliers, and subcontractors who follow similarly high environmental standards.
- 2.5 We intend to update our practices periodically to incorporate relevant developments in environmental management. We encourage the industry to undertake research in these and related areas.
- 2.6 We recognize the need to conduct internal environmental reviews on a periodic basis, and to measure our activities against our environmental goals.
- 2.7 We encourage the financial services sector to develop products and services which will promote environmental protection.

3. Public Awareness and Communication

- 3.1 We recommend that financial institutions develop and publish a statement of their environmental policy and periodically report on the steps they have taken to promote integration of environmental considerations into their operations.
- 3.2 We will share information with customers, as appropriate, so that they may strengthen their own capacity to reduce environmental risk and promote sustainable development.
- 3.3 We will foster openness and dialogue relating to environmental matters with relevant audiences, including shareholders, employees, customers, governments, and the public.
- 3.4 We ask the United Nations Environment Programme (UNEP) to assist the industry to further the principles and goals of this Statement by providing, within its capacity, relevant information relating to sustainable development.
- 3.5 We will encourage other financial institutions to support this Statement. We are committed to share with them our experiences and knowledge in order to extend best practices.
- 3.6 We will work with UNEP periodically to review the success in implementing this Statement and will revise it as appropriate

Name: _____ Signature: _____

Position: _____ Date: _____

(Must be certified officer for company / organisation)



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Appendix 2

UNEP Statement of Environmental Commitment by the Insurance Industry

The insurance industry recognizes that economic development needs to be compatible with human welfare and a healthy environment. To ignore this is to risk increasing social, environmental and financial costs. Our Industry plays an important role in managing and reducing environmental risk, in conjunction with governments, individuals and organizations. We are committed to work together to address key issues such as pollution reduction, the efficient use of resources, and climate change. We endeavour to identify realistic, sustainable solutions.

1. General Principles of Sustainable Development

- 1.1 We regard sustainable development, defined as development that meets the needs of the present without compromising the ability of future generations to meet their own needs, as a fundamental aspect of sound business management.
- 1.2 We believe that sustainable development is best achieved by allowing markets to work within an appropriate framework of cost efficient regulations and economic instruments. Government has a leadership role in establishing and enforcing long term priorities and values.
- 1.3 We regard a strong, proactive insurance industry as an important contributor to sustainable development, through its interaction with other economic sectors and consumers.
- 1.4 We believe that the existing skills and techniques of our industry in understanding uncertainty, identifying and quantifying risk, and responding to risk, are core strengths in managing environmental problems.
- 1.5 We recognize the precautionary principle, in that it is not possible to quantify some concerns sufficiently, nor indeed to reconcile all impacts in purely financial terms. Research is needed to reduce uncertainty but cannot eliminate it entirely.

2. Environmental Management

- 2.1 We will reinforce the attention given to environmental risks in our core activities. These activities include risk management, loss prevention, product design, claims handling and asset management.
- 2.2 We are committed to manage internal operations and physical assets under our control in a manner that reflects environmental considerations.
- 2.3 We will periodically review our management practices, to integrate relevant developments of environmental management in our planning, marketing, employee communications and training as well as our other core activities.
- 2.4 We encourage research in these and related issues. Responses to environmental issues can vary in effectiveness and cost. We encourage research that identifies creative and effective solutions.
- 2.5 We support insurance products and services that promote sound environmental practice through measures such as loss prevention and contract terms and conditions. While satisfying requirements for security and profitability, we will seek to include environmental considerations in our asset management.
- 2.6 We will conduct regular internal environmental reviews, and will seek to create measurable environmental goals and standards.
- 2.7 We shall comply with all applicable local, national and international environmental regulations. Beyond compliance, we will strive to develop and adopt best practices in environmental management. We will support our clients, partners and suppliers to do likewise.

3. Public Awareness and Communications

- 3.1 Bearing in mind commercial confidence, we are committed to share relevant information with our stakeholders, including clients, intermediaries, shareholders, employees and regulators. By doing so we will improve society's response to environmental challenges.
- 3.2 Through dialogue with public authorities and other bodies we aim to contribute to the creation of a more effective framework for sustainable development.
- 3.3 We will work with the United Nations Environment Programme to further the principles and goals of this Statement, and look for UNEP's active support.
- 3.4 We will encourage other insurance institutions to support this Statement. We are committed to share with them our experiences and knowledge in order to extend best practices.
- 3.5 We will actively communicate our environmental activities to the public, review the success of this Statement periodically, and we expect all signatories to make real progress.

Name: _____ Signature: _____

Position: _____ Date: _____

(Must be certified officer for company / organisation)