

Principles for Responsible Banking - Public Reporting Template

To meet the transparency requirements of the Principles for Responsible Banking, the information requested below should be addressed in your bank's public reporting. **In this template, please simply provide references to the relevant information in publicly available reports or documents.**

Please Note: This is a draft version of the reporting template as it has been put forward for consultation on 26th Nov. 2018. Following the end of the global public consultation on the Principles for Responsible Banking on 31st May, this template will be revised. Notably, this template might be combined with the Principles for Responsible Banking Assessment Criteria.

Principle 1 - Alignment

1.1 Please provide a link to the description of your bank's business model, which includes the structure of the bank, sectoral focus areas, products and services, client base and geographic coverage.

Provide link(s) to relevant information in your bank's public reporting/publicly available documents. You may, in addition, provide a summary/comments up to 50 words.

Page references if applicable

1.2 Please provide a link to information on how your bank has aligned and/or is planning to align its strategy to be consistent with and contribute to meeting individuals' needs and society's goals, as expressed in the Sustainable Development Goals (SDGs), the Paris Climate Agreement, and relevant national and regional frameworks. This should include relevant KPIs and planned measures to achieve them.

Provide link(s) to relevant information in your bank's public reporting/publicly available documents. You may, in addition, provide a summary/comments up to 50 words.

Page references if applicable

Principle 2 - Impact

2.1 Please provide a link to a description of how and according to which criteria your bank has identified and assessed and/or is planning to identify and assess the significant risks to people and environment and the positive and negative social, economic and environmental impacts resulting from the banks activities, products and services. This should include a description of the identified most significant risks and positive and negative impacts alongside corresponding information on the bank's portfolio exposure to relevant technologies, business practices, sectors and geographies.

Provide link(s) to relevant information in your bank's public reporting/publicly available documents. You may, in addition, provide a summary/comments up to 50 words.

Page references if applicable

2.2 Please provide a link to information on how your bank has increased and/or is planning to increase the identified positive impacts and has reduced and/or is planning to reduce the identified significant negative impacts over the last 12 months/in the coming 12 months or since your last annual reporting period/during your next annual reporting period. This should include a description of the measures taken/you are planning to take to increase your bank's positive and reduce your negative impacts.

Provide link(s) to relevant information in your bank's public reporting/publicly available documents. You may, in addition, provide a summary/comments up to 50 words.

Page references if applicable

Principle 3 - Clients and Customers

3.1 Please provide a link to a description of what policies and practices your bank has in place and/or is planning to put in place to ensure the relationship with its clients and customers is conducted in a sustainable and responsible manner.

Provide link(s) to relevant information in your bank's public reporting/publicly available documents. You may, in addition, provide a summary/comments up to 50 words.

Page references if applicable

3.2 Please provide a link to a description of how your bank has worked with and/or is planning to work with its clients and customers to encourage sustainable practices and enable sustainable economic activities. This should include information on the measures taken, their scale and the results and impacts achieved.

Provide link(s) to relevant information in your bank's public reporting/publicly available documents. You may, in addition, provide a summary/comments up to 50 words.

Page references if applicable

Principle 4 - Stakeholders

4.1 Please provide a link to information on which stakeholders your bank has proactively consulted, engaged, collaborated or partnered with on which issues and with what results. This should include a description of how your bank has determined relevant issues for stakeholder consultation/engagement/collaboration/partnership and how your bank has identified the relevant stakeholders for the identified issues.

Provide link(s) to relevant information in your bank's public reporting/publicly available documents. You may, in addition, provide a summary/comments up to 50 words.

Page references if applicable

Principle 5 - Governance and Target Setting

5.1 Please provide a link to a description of how your bank manages social and environmental risks associated with its operations, activities, products, and services with a focus on governance structures, roles and responsibilities, and processes.

Provide link(s) to relevant information in your bank's public reporting/publicly available documents. You may, in addition, provide a summary/comments up to 50 words.

Page references if applicable

5.2 Please provide a link to a description of how your bank has fostered and/or is planning to foster a culture of responsible banking among its employees, and of what governance processes, roles and responsibilities and what management systems your bank has put in place and/or is planning to put in place to ensure effective implementation of the *Principles for Responsible Banking*.

Provide link(s) to relevant information in your bank's public reporting/publicly available documents. You may, in addition, provide a summary/comments up to 50 words.

Page references if applicable

5.3 Please provide a link to a description of how your bank has set its targets. This should include a description of how your bank has prioritized and/or is planning to prioritize focus areas for target setting, reflecting your bank's most significant positive and negative impacts, the most significant challenges and goals of the society(/ies) your bank operates in and your bank's business model and strengths/comparative advantages. Further, this should include a description of how your bank has arrived and/or is planning to arrive at its targets in the identified focus areas. The information provided should specify what framework(s) expressing society's goals (such as SDGs, Paris Agreement, national policies) have been identified as the most relevant one(s) and how the level of ambition and timeline of your bank's target(s) correspond to the level of ambition and the timeline of the target(s) in the identified framework(s).

Page references if applicable

Provide link(s) to relevant information in your bank's public reporting/publicly available documents. You may, in addition, provide a summary/comments up to 50 words.

5.4 Please provide a link to information on your bank's short and medium/long term targets related to the *Principles for Responsible Banking*.

5.4 Please provide a link to information on your bank's short and medium/long term targets related to the *Principles for Responsible Banking*. The information provided should include a description of the activities/measures your bank is planning to implement to achieve these targets, the key performance indicators your bank has set to enable and monitor progress, what resources and responsibilities your bank has assigned to ensure the targets are met, and the processes your bank has established to monitor and review progress against the targets and identify and address/mitigate any negative or unintended consequences.

Provide link(s) to relevant information in your bank's public reporting/publicly available documents. You may, in addition, provide a summary/comments up to 50 words.

Page references if applicable

5.5 Please provide a link to a discussion of your bank's progress made against your previously set targets. This should include information on what actions/measures have been taken and what impact they resulted in.

Provide link(s) to relevant information in your bank's public reporting/publicly available documents. You may, in addition, provide a summary/comments up to 50 words.

Page references if applicable

Principle 6 - Transparency and Accountability

6.1 Please provide a link to a summary of your bank's progress regarding the implementation of the *Principles for Responsible Banking* over the last 12 months. This should include information on the actions taken in key areas and their results.

Provide link(s) to relevant information in your bank's public reporting/publicly available documents. You may, in addition, provide a summary/comments up to 50 words.

Page references if applicable

