



**UNEP Finance Initiative**  
Innovative financing for sustainability



**FIRSTRAND**



**DEG**

KFW BANKENGRUPPE



**Responsible  
social and  
environmental  
performance  
In economic  
activities is  
no longer  
just an ideal.**



**Environmental  
and Social Risk  
Analysis (ESRA)**

**Programme**



**It gives  
companies a  
competitive  
advantage.**



**UNEP Finance Initiative**  
Innovative financing for sustainability



**inVent**  
Climate Building International Services



**PROJECTO  
ECOBANKING**



**INCAE  
BUSINESS SCHOOL**



**THE BANKING  
ASSOCIATION  
SOUTH AFRICA**



## UNEP FI 2009 Global Roundtable

### Environmental & Social Risk Analysis (ESRA) Introductory Training Workshop

**Our sustainable finance training services are part of our effort to fulfill the mission of UNEP FI to "identify, promote and realise the adoption of best environmental and sustainability practice at all levels of financial institution operations"**

#### Course Focus and Objectives

This course is based on the well tested methodology designed by UNEP FI in collaboration with the Ecobanking Project of the INCAE Business School. The training workshop includes a mix of theory, illustrations and practical exercises.

#### Objectives:

- Bring participants up to speed regarding the environmental and social risks involved in lending and investing projects as a consequence of the activities of their clients.
- Guide participants through strategies and tools to detect and mitigate and evaluate these environmental and social risks.
- Explore next steps in terms of promoting the efficient implementation of E&S risk analysis in the host country's financial sector at large.

#### Duration & Numbers:

The workshop necessitates a full day from 8h to 18h on Wednesday, 21 October 2009 (from early morning registration to the delivery of certificates). The workshop includes both theory introductions and practical exercises and is restricted to 40 participants as an absolute maximum.

#### Profiles:

##### *Primary targets:*

Risk managers and analysts working in development, commercial, corporate and/or investment banks.

##### *Secondary targets:*

Sustainability, CSR and other, related/similar, general managers, with a view to a) identifying valuable new policies and practices that their banks could implement and b) communicating them to relevant colleagues. This also includes other stakeholders such as relevant government reps, regulators, civil society reps.

#### Speakers & Trainers:

Key speakers are practitioners used to training people and linked to UNEP FI in a significant way and consist of both "international" and "local" speakers.

The trainers are familiar with the materials and methodology used in UNEP FI training. Confirmed trainers are:

- Christina Wood and Melissa Makwarimba, South African based tutors of the ESRA Online Training Programme (UNEP FI, INCAE Business School and InWEnt).

#### Location:

Mount Nelson Hotel. 76 Orange Street, Cape Town. 8001. South Africa. **Tel:** +27 (0)21 483 1000

## AGENDA

### *Welcome and Commencement*

8:00 - 8.30      ***Delivery of Credentials***

#### ***Opening Remarks***

8.30 – 9.00      Robert Tacon, Standard Chartered, Chair of UNEP FI Steering Committee  
Peter Thimme, DEG  
Stephan Claassen, Western Cape Provincial Chairman, First National Bank

### *Introduction: Sustainable Finance, E&S Risk Analysis*

***Christopher Wells, Environmental Risk Management, Grupo Santander, Brasil, Chair of the UNEP FI Latin American Task Force***

9.00 – 09.30      Banking and sustainable development  
Conceptual framework of environmental and social risks  
Consequences and opportunities of the environmental and social risks for financial institutions

### *Implementation I – Identifying Risks*

#### ***Christina Wood***

09.30 – 10.15      Introduction to E&S risk detection in practice  
Preliminary risk-detection exercises

10.15 – 10.30      Coffee Break

#### ***Christina Wood***

Risk Identification: Group exercises around case studies.

10.30 - 12.30      Assessing the Risks:  
Risks to the environment  
Risks to the company  
Risks to financial Institution  
Analyzing the Context:  
Regulatory framework  
Markets  
Natural environment and society

12:30 - 13.30      Lunch

***Implementation II & Illustration I – Analysing and Managing Risks***

***Melissa Makwarimba***

- 13.30 – 15.00    Methodological Introduction  
Case study based exercise – Environmental screening and classification of risks  
Case study based exercise – Evaluation of compliance

***Chris Bray, Head of Environmental Risk Management, Barclays, UK***  
***Nigel Beck, Global Environmental Manager, Project Finance, Standard Bank, South Africa***

- 15.00 - 16.00    Interactive Panel Discussion:  
E&S Risk Analysis and Management in Project Finance: Implementing the  
Equator Principles.

- 16.00 – 16.15    Coffee Break

***Illustration II - Environmental & Social Risk Analysis best practice***

***Anton G. Van Elteren, Senior Environmental and Social Analyst, FMO, Netherlands***

- 16.15 – 17.00    E&S Risk Analysis and Management for MFIs: small operations, big impacts

***Conclusions and Final Debate Session on “The Immediate Needs of the Financial Sector in Africa”***

***Moderators: UNEP FI, Melissa Makwarimba and Christina Wood***

- 17.00 – 17.45    Importance of sustainability issues for financial institutions in Africa  
Existing Initiatives, future necessities.  
Setting action points for FIs  
Establishing dialogue with stakeholders.

- 17.45 - 18.00    Announcement for future ESRA online courses - French adaptation.  
Closing, Handing out of Certificates and Cocktail.