

YES BANK - GIZ - UNEP FI Sustainability Series
Introductory Training Workshop Agenda
Environmental and Social Risk Analysis

April 23, 2013
Mumbai, India

OBJECTIVES

- To bring participants up to speed regarding the environmental and social (E&S) risks in lending and investment projects as a consequence of the activities of their clients
- To guide participants through strategies and tools to detect, mitigate and evaluate these E&S risks
- To explore next steps in terms of promoting the effective implementation of E&S risk analysis in India's financial sector at large

TARGET AUDIENCE

Risk managers and analysts, investment officers, portfolio and relationship managers working in commercial banks across various types of finance (project finance, corporate finance, middle-market/SME and trade finance)

Consultants/consultancies involved in assisting banks with their risk management are also welcome

DESCRIPTION

- Length: 1 day
- Format: interactive workshop for 25-30 people maximum
- Resources: case studies and technical presentations
- Speakers:
 - UNEP FI tutor
 - Banking representatives
 - Regulators

Inaugural Programme

08:30 – 09:30	Registration
09:30 - 09:35	Opening Remarks <i>Mr Mengqi Cai, Programme Officer, Asia Pacific Task Force, UNEP FI</i>
09:35 – 09:40	Welcome address <i>Ms Stefanie Bauer, Advisor, Private Sector Development, GIZ</i>
09:40 – 09:45	Lighting of the Lamp <i>Dignitaries on stage</i>
09:45 - 09:55	Inaugural Address <i>Mr Rana Kapoor, Founder, MD & CEO, YES BANK</i>
09:55 - 10:20	Chief Guest Address <i>Dr K C Chakrabarty, Deputy Governor, Reserve Bank of India</i>
10:20 - 10:30	Key Note Address <i>Confirmation awaited*</i>
10:30 - 10:40	Introduction to the workshop <i>Mr Robert Tacon, Tutor, UNEP FI</i>
10:40 – 10:45	Vote of Thanks <i>Mr Sumantra Sen, Founder, Responsible Investment Research Association</i>

* Confirmations awaited from:

Mr. Rajiv Takru, Secretary, Department of Financial Services, Ministry of Finance

Mr. Naved Masood, Secretary, Ministry of Corporate affairs

Introductory Training Workshop: Environmental and Social Risk Analysis

Sustainable Finance and E&S Risks

11:00 – 12:00	<p>Understanding E&S Risks <i>Mr. Robert Tacon, Tutor, UNEP FI</i></p> <ul style="list-style-type: none"> • Banking and sustainable development • Challenges and opportunities of E&S risks • Conceptual framework for analyzing E&S risks
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E&S Risk Identification

12:00 – 13:00	<p>Identifying E&S Risks <i>Mr. Robert Tacon, Tutor, UNEP FI</i></p> <ul style="list-style-type: none"> • Regulatory framework, market and environmental/social context • Methodology for assessing and accounting for E&S risks • Case study based exercise: application of methodology
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13:00 – 14:00

Lunch

Best Practice Sharing I

14:00 – 14:30	<p>Practicing E&S Risk Analysis <i>Mr. Rahul Chaudhari, Senior Vice President, Credit Risk Management, HSBC India</i></p>
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E&S Risk Analysis

14:30 – 16:00	<p>Analyzing and Managing E&S Risks <i>Mr. Robert Tacon, Tutor, UNEP FI</i></p> <ul style="list-style-type: none"> • Methodology for analyzing and managing E&S risks • Case study based exercise: application of methodology
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16:00 – 16:10

Coffee Break

Best Practice Sharing II

16:10 – 16:30	Practicing E&S Risk Analysis <i>Mr. Ajay Kapur, Country Head, Energy Efficiency, SIDBI</i>
16:30 – 16:50	Practicing E&S Risk Analysis <i>Mr. Ashish Agarwal, Chief Risk Officer, YES BANK</i>

Conclusions and Final Debate Session on Immediate Necessities of Indian Banking Sector

16:50 – 17:50	Discussion to determine immediate needs of the Indian Banking Sector <i>Mr. Robert Tacon, Tutor, UNEP FI</i> <ul style="list-style-type: none"> ● Importance of sustainability issues for banking institutions in India ● Existing initiatives, future necessities ● Setting actions points for Financial Institutions ● Establishing dialogue with stakeholders
17:50 – 18:00	Closing Remarks and Certificate Presentation <i>Mr. Robert Tacon, Tutor, UNEP FI</i> <i>Ms. Namita Vikas, President & Chief Sustainability Officer, YES BANK</i>