UNEP FI 2006
Annual General Meeting (AGM)
Palais des Nations, Geneva

Signatory Relations

Thursday 9 November 2006
UNEP FI Signatories 2006
Global View
UNEP FI Signatories 2006

Geographical Breakdown

- Europe: 55%
- Asia Pacific: 27%
- North America: 11%
- Latin America: 3%
- Africa: 3%
- Middle East: 1%
UNEP FI Signatory 2006

Sector Breakdown

Bank 71%

Insurance 19%

Asset Management 5%

Pension Fund 2%

Others 2%

Foundation 1%
## UNEP FI Signatory Contributions

<table>
<thead>
<tr>
<th></th>
<th>2003</th>
<th>2004</th>
<th>2005</th>
<th>2006 (as at 20 Oct)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number of signatories paid</td>
<td>81</td>
<td>101</td>
<td>133</td>
<td>138</td>
</tr>
<tr>
<td>Number of signatories confirmed to pay</td>
<td>6</td>
<td>6</td>
<td>12</td>
<td>10</td>
</tr>
<tr>
<td>Number of signatories granted/ seeking exemption</td>
<td>6</td>
<td>12</td>
<td>6</td>
<td>6</td>
</tr>
<tr>
<td>Number of paying signatories (incl exempt)</td>
<td>81</td>
<td>113</td>
<td>145</td>
<td>154</td>
</tr>
<tr>
<td>Total number of signatories</td>
<td>250</td>
<td>211</td>
<td>161</td>
<td>164</td>
</tr>
<tr>
<td>% of paying signatories</td>
<td>32%</td>
<td>54%</td>
<td>90%</td>
<td>94%</td>
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</tbody>
</table>
UNEP FI Outreach
Outline of current UNEP FI Working Groups, Task Forces, and Projects, including major activities planned for 2006
Outreach

- **Newsletter 0.618...**: yearly (thematic)
- **e-bulletin**: monthly (over 7,000 subscribers)
- **unepfi.org**: over 200,000 visitors a year
Welcome to the UNEP FI Signatory Extranet

UNEP FI is a global partnership between UNEP and the financial sector. Over 160 institutions, including banks, insurers and fund managers, work with UNEP to understand the impacts of environmental and social considerations on financial performance.

The Extranet is a feature created exclusively for UNEP FI Signatories and provides detailed information on members and their environmental, social and governance (ESG) profiles.

### UNEP FI Annual General Meeting (2006)

8 November, 2006

2006 AGM Details and Registration Form

What You'll Find Here

- **Calendar**
Each sector provides:
  • Summary of the sector
  • Headline Issues
  • Environmental and Social Risks
  • Key Considerations
  • Pertinent resources.
UNEP FI Toolkit: Insightful View

- Agriculture and Fisheries (PDF: 63 KB)
  Crop farming and market gardening; horticulture; dairy farming; animal husbandry; capture fisheries and culture fisheries; marine and freshwater fish processing

SAMPLE:

- Fisheries and fish farming schematic
- Key sector risks and Headline issues
- Environmental Risks
- Key consideration
  - E.g. Does the farmer undertake activities, which require authorization from environmental regulators?
  - How does the farmer dispose of waste
UNEP FI Global Expansion
UNEP FI Global Expansion

Highlight Africa

Objectives

- setting sustainability priorities for the African financial sector,

- the potential to establish general standards or codes of conduct on finance and sustainability in Africa,

- the overall integration of a triple bottom line approach to the operations of the African financial sector.
UNEP FI Global Expansion

Highlight China

➢ Background:

China’s financial market will be freely accessible from the beginning of 2007 due to WTO agreement.

➢ Event:

The provisional event in 1st quarter 2007 is expected to attract more than 100 participants from China’s Financial sector.

➢ Progress: We are in communication with three potential signatories
Objective:
Raise awareness of ESG issues to senior executives of Indian financial institutions.

Upcoming Events:
Workshop on Mainstreaming Sustainability in Indian Financial Institutions, Mumbai, 5 December 2006. Hope to have 40-50 senior level executives and 15-20 CEOs for the CEO Luncheon in parallel.

First India signatory: Yes Bank
Approximately 18 UNEP FI signatories have subsidiaries in the country. ABN AMRO, Citi Bank, Deutsche Bank, Standard Chartered……
UNEP FI Global Expansion

Highlight Middle East

➢ Objective: To create awareness on sustainable finance among financial institutions in the Middle East

➢ First Event: Outreach to the Middle East took place last September in Dubai. Michael Hölz (Deutsche bank) & Paul Clements-Hunt presented on behalf of UNEP FI.

➢ Signatories in the region (20 UNEP FI): HSBC, National Bank of Kuwait, Bank of Tokyo, Crédit Suisse, Banca Monte Dei Paschi Di Siena, Sumitomo Mitsui Banking, Standard Chartered plc

➢ Collaboration in the Region: UNEP FI will be working with Emirate Environmental Group (EEG) to gain local knowledge in the region.

➢ Planned for 2007: High level event for Q1
UNEP FI New Signatories 2006
<table>
<thead>
<tr>
<th>UNEP FI New Signatories 2006</th>
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</thead>
<tbody>
<tr>
<td>Alcyone Finance, France</td>
</tr>
<tr>
<td>American International Group (AIG)</td>
</tr>
<tr>
<td>Asset4, Switzerland</td>
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<tr>
<td>Banca Intesa S.P.A., Italy</td>
</tr>
<tr>
<td>British Columbia Investment Management Corporation, Canada</td>
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<tr>
<td>Daegu Bank, Korea</td>
</tr>
<tr>
<td>Fortis, Netherlands</td>
</tr>
<tr>
<td>Interamerican Hellenic Life Insurance Company S.A., Greece</td>
</tr>
<tr>
<td>Midas Asset Management, Korea</td>
</tr>
<tr>
<td>Mitsubishi UFJ Trust and Banking Corporation, Japan</td>
</tr>
<tr>
<td>Mitsui Trust Finance Group, Japan</td>
</tr>
<tr>
<td>Mizuho Finance Group, Japan</td>
</tr>
<tr>
<td>Rowet Group Limited, Nigeria</td>
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<tr>
<td>Wachovia Corporation, USA</td>
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<tr>
<td>Yes Bank, India</td>
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UNEP FI 2007 Outlook
UNEP FI 2007 Strategy and Objectives

- Further Expansion of the Funding Base
- Maximize the partnership between UNEP and Financial Institutions
- Member Enlargement, particularly in China, India, the Middle East, and Nigeria
- Improve Outreach Activities in Regions not covered by Task Force
- Strengthen Engagement with Organizations working on similar issues (associations)