**Finance Initiative**

**Membership**

**Application Form**

**Banking**

Thank you for your interest in becoming a UNEP FI Member.

By becoming a UNEP FI member, your organization:

1. Will need to sign the Principles for Responsible Banking. The Principles provide the framework for a sustainable banking system. They align the banking industry with society’s goals as expressed in the UN Sustainable Development Goals and the Paris Agreement on Climate Change.  More information is available at: <http://www.unepfi.org/responsiblebanking>
2. Will need to send the signed completed Membership Application Form to:

**Banking team**: Oualid Rokneddine at [oualid.rokneddine@un.org](mailto:oualid.rokneddine@un.org)

**Membership applications and Invoicing:** Lydia Beaujois at [lydia.beaujois@un.org](mailto:lydia.beaujois@un.org)

**Membership and Regional Co-ordination:** Liesel van Ast at [liesel.vanast@un.org](mailto:liesel.vanast@un.org)

1. Commits to paying an annual membership fee. The fee level is determined by the level of your organization’s assets under management. The fees for 2021/2022 are shown in Appendix 1.
2. Provide your logo and your certificate of incorporation or a document showing the nature of your business.
3. Participate in the Annual General Meeting (AGM), an assembly of all Members with the ultimate decision-making authority for UNEP FI. Members are committed to attending the AGM in person at least once in every two years and always participate in the voting processes which can be done online.

**What happens next?**

Upon receipt of the signed documents, the UNEP FI Secretariat will review your application, undertake a risk assessment, and arrange a call to discuss your membership and becoming a signatory to the Principles for Responsible Banking.

We will then confirm the outcome of your membership application. If successful, you will receive an official Welcome Letter confirming your status as a Member and a Welcome Package including:

* UNEP FI’s Work Programme
* Members’ Handbook

Finally, your UNEP FI focal point will arrange a Welcome Call to introduce you more fully to the Initiative and get you started.

# **Membership Application Form** Date:

|  |  |
| --- | --- |
| **Company contact details** **(as to appear in the UNEP FI database)** | |
| Company ISIN identifier.  Company Name (as it should appear on UNEP FI website) |  |
| Company Name (as shown on certificate of incorporation) |  |
| Company Address |  |
| Corporate Address  (if different from above) |  |
| Main Telephone |  |
| Main Email |  |
| Website |  |
| **Communications** | |
| Company Overview (to appear on UNEP FI’s members’ page [Our Members – United Nations Environment – Finance Initiative (unepfi.org)](https://www.unepfi.org/members/) |  |
| Quote from CEO (optional) |  |
| **Invoicing** | |
| **Institution size** (please note this information will be used to determine the level of your company’s annual fee). | |
|  | Total Assets (USD): |
|  | Date of Total Assets: |
| **Financial reporting** | Financial reporting period/fiscal year |
|  | Date of latest annual report |
|  | Link to latest annual report |
| **Invoicing requirements** |  |
|  | Purchase order required  YES  NO  OTHER (please explain) |

|  |  |
| --- | --- |
| **Focal point contact details** **(as to appear on the UNEP FI internal member list and database)** | |
| Primary Focal Point | |
| Full Name |  |
| Position |  |
| Department |  |
| Telephone |  |
| Email |  |
| Additional Focal Points (optional) | |
| Full Name |  |
| Position |  |
| Department |  |
| Telephone |  |
| Email |  |
| Communications /media department: | |
| Full Name |  |
| Position |  |
| Telephone |  |
| Email |  |
| Finance Focal Point (for invoicing) | |
| Full Name |  |
| Position |  |
| Department |  |
| Telephone |  |
| Email |  |
| Learning & Development Focal Point (optional) |  |
| Full Name |  |
| Position |  |
| Department |  |
| Telephone |  |
| Email |  |

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| --- | --- | --- |
| **Risk assessment** | | |
|  | | |
|  | Does the company publish an environmental or sustainability report, disclosing information on its environmental/CSR policy & performance?  Yes  No  If yes, please provide relevant web link to latest sustainability/integrated report,  Reporting period:  If no, by when does your company plan to publish this report? |
|  | Is your organization (or parent company) a UN Global Compact participant?  Yes  No  If “YES”, please provide a link to your latest Communication on Progress |
|  | Human rights and gender equality  - Does the entity have publicly available policies regarding efforts to up hold human rights principles of accountability and rule of law, participation and inclusion, and equality and non-discrimination, noting that prohibited grounds of discrimination include race, colour, ethnicity, sex, age, language, disability, sexual orientation, gender identity, religion, political or other opinion, national or social or geographic origin, property, birth or other status including as an indigenous person or as a member of a minority  Yes  No  If yes, please provide a link to your latest policies  If no, do you plan to introduce these policies in the next 18 months? |
|  | Does the entity have publicly available policies aimed at ensuring that it will not discriminate against women and girls?  Yes  No  If yes, please provide a link to your latest policies  If no, do you plan to introduce these policies in the next 18 months? |
|  | Is the entity currently working with any part of UNEP or has it worked with UNEP in the past?  Yes  No  If “YES”, please explain. |

|  |  |  |
| --- | --- | --- |
| **Profile of organization** | | |
| Number of employees |  | |
| **Scope of operations** | | |
| Are your operations global?  YES  NO  Number of countries: | | |
| Primary regions (please tick as relevant)  Africa & Middle East  Asia Pacific  Europe  Latin America & Caribbean  North America | | Primary markets (countries)  List of countries and contacts (optional)  Names of main subsidiaries and contacts (optional) |
| **Main Activities** | |  |
| Banking | | Insurance |
| Retail / Commercial / Institutional banking  Development banking  Investment banking / Stock broking  Microfinance  Credit Union / Building or Co-Operative Society  Leasing  Other (please specify) | | Retail / commercial insurance  Life insurance  Reinsurance  Insurance brokerage  Other (please specify) |
| Investment | | Others |
| Retail Fund management  Pension Fund Management  Wholesale Fund Management  Other (please specify) | | Fintech  Stock exchange  Rating agencies  Brokerage firms  Index companies  Other (please specify) |

Name: Signature:

Position: Date:

**Appendix 1: UNEP FI Membership Fee Structure**

UNEP FI members are required to pay an annual financial contribution. Members’ contributions are used to help fund the activities undertaken by UNEP FI.

The level of contribution expected is based on the total asset size of the member institution as below. The current fee categorization will also apply to subsidiaries members however, with a 50% reduction in fees.

UNEP FI Banking Members have, in addition, recently agreed on a top up fee to increase resourcing to support members deliver on the Principles for Responsible Banking. The banking members’ top up fee is introduced from 2021. The 2022 top up fee level is likely to be maintained over the coming years.

Please consult the table below to identify the level of contribution that will be expected from your company:

|  |  |  |
| --- | --- | --- |
|  | **2022** | **2023** |
|  | **Total fee  (approved at AGM)** | **Total fee (approved at AGM)** |
| Total assets (US Dollars) | CHF | CHF |
| From 100 billion and above | 31,979 | 32,409 |
| From 5 billion to less than 100 billion | 15,960 | 16,170 |
| From 1 billion to less than 5 billion | 6,301 | 6,381 |
| Below 1 billion | 3,840 | 3,890 |

The annual contribution covers the period January to December of that particular year and is

payable on a yearly basis. UNEP FI will issue an invoice for the annual contribution for the

following year towards the end of the year – i.e. for 2022 membership, we will issue invoices

around July/August 2021.

For members joining part way through the year, the first annual contribution is portioned to

the remaining months of the year and is invoiced on receipt and acceptance of the registration

form.