UNEP FI Impact Analysis Tool for Banks (V3)
Context Module
Introduction
Portfolio Impact Analysis Tool for Banks

IMPACT MANAGEMENT PROCESS

1. Scoping
2. Impact Identification
3. Performance measurement and assessment
4. Target-setting
5. Monitoring

PORTFOLIO IMPACT ANALYSIS TOOL

Context Module
Identification Modules
Consumer Banking
Institutional Banking

Assessment Modules
Consumer Banking
Institutional Banking
### UNEP FI Impact Protocol

Holistic impact management for banks explained step by step

#### 1. Scoping
- Identify core business activities
- Identify main geography/ies

#### 2. Impact Identification
- **Understand context** (country/local/global level)
  - Status of needs
  - Policy & regulation
  - Trends & scenarios
- **Review portfolio composition & associated impacts**
  - Consumer Banking: products/services and type of customers
  - Business, Corporate and Investment Banking: sectors/industries and type of clients
- **Cross-data to determine & prioritise most significant impact areas**
  - Portfolio composition and associated impacts (positive and negative)
  - Context

#### 3. Performance Measurement & Assessment
- **Review practice**
  - Portfolio composition/financial flows
  - Client engagement
  - Internal policies and processes
  - Advocacy and partnerships
- **Measure impacts** *(in relation to the prioritized impact areas)*

#### 4. Target Setting
- **Make alignment choices**
  - International, regional, or national policy frameworks
- **Understand baseline**
  - Based on prior performance measurement/assessment and on alignment choices
- **Define SMART targets**
  - Practice targets
  - Impact targets
- **Define Action Plan**
  - In relation to the four categories of practices
  - Considering human resource and data system needs

#### 5. Monitoring Process
- **Monitor practice**
- **Monitor impact**
PRB Signatory Implementation Journey

Year 1 & 2:
- Conduct and complete an initial impact analysis
- Publish your first PRB report

Set multidisciplinary team and gather data

Identify scope, context and exposure of your portfolio

Prioritize at least 2 significant impact areas

Deep dive into the two impact areas for performance measurement

Publish the first PRB report

Year 3 & 4:
- Work on setting min. 2 SMART targets
- Publish your second and third PRB report

Determine baseline for your targets, assess relevant frameworks to align with

Set SMART targets that address min. 2 areas of most significant impact

Report and monitor progress against targets

Publish the second PRB report

Publish the third PRB report

Year 5 and thereafter:
- Work on implementing the targets
- Publish your subsequent PRB reports
- Set new or complementary targets if needed

Consider setting more targets, expanding the impact analysis

Publish the fourth PRB report

Principles signed

6 mo
Year 1
18 mo
Year 2
Year 3
Year 4
Year 5
Context Module
PRB requirement: 2.1.c. Context

Key highlights:

Understanding the environmental, social and economic context of the countries and locations in which your bank operates

- Possibility to map needs at one or several levels, depending on the scope: country level, local level or global level
- Needs assessment performed on the basis of official statistical data sets, policy documents, trends & scenario research
- Prepopulated needs data for around 90 countries and possibility to conduct a quick context assessment where data is already available in the database
Four types of worksheets:

- Reference worksheets (white)
- Workflow worksheets (yellow)
- Data transfer worksheet (black)
- 'Back-end' worksheets (grey) (hidden)
Context Module
My parameters

<table>
<thead>
<tr>
<th>1. Which countries do you want to assess?</th>
<th>Italy</th>
<th>Serbia</th>
</tr>
</thead>
<tbody>
<tr>
<td>Please select all the countries that you wish to include in the scope of your analysis</td>
<td>YES</td>
<td>YES</td>
</tr>
</tbody>
</table>

| Availability of data in the database | | |
|-------------------------------------| | |
| Please go to worksheet 'Country Assessment' to see available data, and data (if needed) or proceed straight to worksheet 'Country & Local Results' | | |

| 2.a. Will you also be assessing needs at the local level for any of the above countries? | | |
| Please select 'yes' for all the countries that you wish to also assess at the local level (note that you can assess locations for a maximum of 6 countries) | no | no |

| Please name the locations you wish to assess (if applicable) | | |
|------------------------------------------------------------| | |
| | | |

| 2.b. Will you also be assessing needs at the global level? | | |
| Please select 'yes' or 'no' | no | |

For the selected countries, you can see if data is already available in the database.
If data is already available, you can move straight to the ‘Country & Local results’ tab and review the outputs.

### a. Needs and priorities per country & location

<table>
<thead>
<tr>
<th>Impact Areas</th>
<th>Social</th>
<th>Socio-economic</th>
<th>Natural environment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Health &amp; safety</td>
<td>Availability, accessibility, affordability, quality of resources &amp; services</td>
<td>Strong institutions, peace &amp; stability</td>
<td>Climate stability</td>
</tr>
<tr>
<td>Livelihood</td>
<td>Equality &amp; justice</td>
<td>Healthy economies</td>
<td>Biodiversity &amp; healthy ecosystems</td>
</tr>
<tr>
<td>Equity &amp; justice</td>
<td>Socio-economic convergence</td>
<td>Infrastructure</td>
<td>Circularity</td>
</tr>
<tr>
<td>Integrity &amp; security of person</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Impact Topics</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(Child)</td>
<td>Modern slavery, Child labour, Data protection</td>
<td>(Rule of law, Civil liberties)</td>
<td>(Waterbodies, Aquatic, Ecosystems)</td>
</tr>
<tr>
<td>(Employment, Wages, Social protection)</td>
<td>Gender equality, Ethnic/racial equality, Age discrimination</td>
<td>Sustainable development, Institutional capacity</td>
<td>Resource intensity, Waste</td>
</tr>
<tr>
<td>SDGs</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Countries &amp; Locations</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

- **Croatia**
- **Italy**
- **Serbia**
Context Module

Results

a. Level of need by country and locations (if applicable)

<table>
<thead>
<tr>
<th>Country</th>
<th>Levels</th>
</tr>
</thead>
<tbody>
<tr>
<td>Croatia</td>
<td>N/A/A/N/A/N/A</td>
</tr>
</tbody>
</table>

Detailed results: spider charts showing levels of need based on statistical data-sets + table showing status of all the impact topics in the relevant countries and locations considering all three types of resources.
Context Module
Assessment

→ If data is not already available in the database or if you wish to complement existing data, you can fill out the assessment tables before moving to the results worksheet.

You can use up to three types of resources.
Resources
Where to find the Modules and more

Find the Impact Protocol here

Find the Interactive Guidance here

Find the Tool Modules, User Guides and Demos here

Find the Impact Radar here

Find the Impact Protocol here

Find the Case studies here

Find the Impact Radars here

Find the Sector-Impact Map here

Find the Target Setting Guidance here

Find the Indicator Library here

All Resources are freely available on:
https://www.unepfi.org/impact/positive-impact/
Contacts

PRB requirements: maria.sosataborda@un.org
Tool & resource helpdesk: costanza.ghera@un.org, alexander.stopp@un.org
Workshops: gabor.gyura@un.org